# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 10807094 Filing Date 2004-03-22 First Named Inventor Dimpsey et al. Art Unit 2189 Examiner Name Flournoy, Horace L. Attorney Docket Number AUS920040059US1

	U.S.PATENTS					Remove
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	6542985		2003-04-01	Johnson et al.	
	2	4316245		1982-02-16	Luu et al.	
	3	7181723		2007-02-20	Luk et al.	
	4	6961925		2005-11-01	Callahan, II et al.	
	5	5950003		1999-09-30	Kaneshiro et al.	
	6	5212794		1993-05-18	Pettis et al.	
	7	5659679		1997-08-19	Alpert et al.	
	8	6006033		1999-12-21	Heisch	

Application Number		10807094
Filing Date		2004-03-22
First Named Inventor Dimps		sey et al.
Art Unit		2189
Examiner Name Flourr		noy, Horace L.
Attorney Docket Number		AUS920040059US1

9	6119075		2000-09-12	Dean et al.	
10	6189141	B1	2001-02-13	Benitez et al.	
11	6237141	B1	2001-05-22	Holzle et al.	
12	6351844	B1	2002-02-26	Bala	
13	6505292	B1	2003-01-07	Witt	
14	6631514	B1	2003-10-07	Le	
15	5794028		1998-08-11	Tran	
16	5950009		1999-09-07	Bortnikov et al.	
17	5950003		1999-09-07	Kaneshiro et al.	
18	5581778		1996-12-03	Chin et al.	
19	5752062		1998-05-12	Gover et al.	

Application Number		10807094	
Filing Date		2004-03-22	
First Named Inventor Dimps		sey et al.	
Art Unit		2189	
Examiner Name Flourn		noy, Horace L.	
Attorney Docket Number		AUS920040059US1	

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>		Kind Code <sup>4</sup>	Publication Date	Name of Patentee Applicant of cited Document	or ,	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
						ENT DOCUM			Remove
If you wish	n to ac	 dd additional U.S. Publi	shed Ap	plication	citation	 n information p	lease click the Add	d buttor	n. Add
	5	20030040955	A1	2003-02	!-27	Anaya et al.			
	4	20020157086	A1	2002-10	1-24	Lewis et al.			
	3	20030014741	A1	2003-01-16		Megiddo et al.			
	2	20020073406	A1	2002-06	i-13	Gove			
	1	20040194076	A1	2004-09	J-01	Comp et al.			
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publica Date	tion	Name of Pate of cited Docu	entee or Applicant ment	Releva	s,Columns,Lines where ant Passages or Relevant es Appear
			U.S.P	ATENT	APPLI	CATION PUBL	LICATIONS		Remove
If you wish	n to ac	l dd additional U.S. Pater	ıt citatio	n inform	ation pl	ease click the	Add button.		Add
	21	5970439		1999-10	1-19	Levine et al.			
	20	6446029	B1	2002-09	J <b>-</b> 03	Davidson et al.			

Application Number		10807094	
Filing Date		2004-03-22	
First Named Inventor Dimps		sey et al.	
Art Unit		2189	
Examiner Name Flourn		noy, Horace L.	
Attorney Docket Number		AUS920040059US1	

	1									
If you wis	h to ac	dd additional Foreign Pa	tent Document	citation	information pl	ease click the Ado	d button	Add		
NON-PATENT LITERATURE DOCUMENTS Remove										
Examiner Initials*	Cite No	Include name of the au (book, magazine, journ publisher, city and/or c	nal, serial, symp	osium,	catalog, etc), o				T5	
	1		RAMIREZ et al., "The Effect of Code Reordering on Branch Prediction", Proceedings of the International Conference on Parallel Architectures and Compilation Techniques, October 2000, pp. 189-198.							
	2		YANG et al., "Improving Performance by Branch Reordering", Proceedings of the ACM SIGPLAN 1998 Conference onProgramming Language Design and Implementation, Montreal Canada, 1008, pp. 130-141.							
	3	CONTE et al., "Accurate and Practical Profile-Driven Compilation Using the Profile Buffer", Proceedings of the 29th Annual ACM/IEEE International Symposium on Microarchitecture, Paris, France, 1996, pp. 36-45.								
	4	CONTE et al., "Using Branch Handling Hardware to Support Profile-Driven Optimization", Proceedings of the 27th Annual International Symposium on Microarchitecture, San Jose CA, 1994, pp. 12-21.								
	5	FISHER, "Trace Scheduling: A Technique for Global Microcode Compaction", IEEE Transactions on Computers, Vol. C30, No. 7, July 1981, pp. 478-490.								
	6	CHANG et al., "Using Profile Information to Assist Classic Code Optimizations", Software Pract. Exper. 21, December 1991, pp. 1301-1321.								
	7	SCHMIDT et al., "Profile-Directed Restructuring of Operating System Code", IBM Systems Journal, 1998, Vol. 37, No. 2, pp. 270-297.								
	8	AHO et al., "Compilers: Principles, Techniques, and Tools", Addison-Wesley, 1988, pp. 488-497.								

Application Number		10807094		
Filing Date		2004-03-22		
First Named Inventor Dimps		sey et al.		
Art Unit		2189		
Examiner Name Flourn		noy, Horace L.		
Attorney Docket Number		AUS920040059US1		

	9	INTEI	L, "Intel IA-64 Architecture Software Developer's Manual", Revision	n 1.1, Vol. 4, No. 2453.	20.002, July 2001.				
If you wisl	f you wish to add additional non-patent literature document citation information please click the Add button Add								
EXAMINER SIGNATURE									
Examiner	Signa	ture		Date Considered					
			reference considered, whether or not citation is in conforma rmance and not considered. Include copy of this form with r						
Standard ST <sup>4</sup> Kind of doo	Γ.3). <sup>3</sup> F cument	or Japa by the a	O Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office anese patent documents, the indication of the year of the reign of the Emperopriate symbols as indicated on the document under WIPO Standard Sen is attached.	eror must precede the ser	ial number of the patent doc	ument.			

( Not for submission under 37 CFR 1.99)

Application Number		10807094		
Filing Date		2004-03-22		
First Named Inventor	Dimps	sey et al.		
Art Unit		2189		
Examiner Name	Flourr	noy, Horace L.		
Attorney Docket Numb	er	AUS920040059US1		

	CERTIFICATION STATEMENT						
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):						
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).						
OF	1						
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).						
	See attached ce	rtification statement.					
×	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith	1.				
	None						
SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.							
Sigi	nature	/Gerald H. Glanzman/	Date (YYYY-MM-DD)	2007-03-29			
Nar	Name/Print Gerald H. Glanzman		Registration Number	25,035			
pub	lic which is to file	rmation is required by 37 CFR 1.97 and 1.98 (and by the USPTO to process) an application is estimated to take 1 hour to complete, inclu	on. Confidentiality is gover	rned by 35 U.S.C. 122 and 37 CFR			

application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria**,

VA 22313-1450.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
  court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
  negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.